

STATE OF VERMONT  
DISTRICT OF Addison

PROBATE COURT

IN RE THE ESTATE OF Robert W. Robertson

DOCKET NO.

LATE OF Addison, VT

**SUMMARY OF ACCOUNT OF EXECUTOR/ADMINISTRATOR  
(ALTERNATE FORM)**

We, State National Bank, NA, John Q. Esquire, of the above-named estate account to the court as provided in this summary and the schedules attached hereto for the period 01/10/2011 to 12/31/2011.

The said Executor/Administrator charges himself-herself as follows:

|   |    |              |
|---|----|--------------|
| Personal Estate as per inventory returned .....           | \$ | 676,625.83   |
| Real Estate as per inventory returned .....               | \$ | 1,500,000.00 |
| Gain on Personal Estate sold, Schedule A .....            | \$ | 5,000.00     |
| Gain on Real Estate sold, pursuant to License, Schedule B | \$ | 0.00         |
| Income: rents, interest, dividends, etc., Schedule C      | \$ | 18,222.23    |
| Other income and estate not in inventory, Schedule D      | \$ | 1,900.00     |
| Cash advanced by legatees, devisees or heirs, Schedule E  | \$ | 0.00         |
| Total Charges .....                                       | \$ | 2,201,748.06 |

The said Executor/Administrator discharges himself-herself as follows:

|  |    |           |
|--|----|-----------|
| Paid support of widow and minor children of deceased,<br>Schedule 1 .....                                | \$ | 0.00      |
| Paid funeral charges of deceased, Schedule 2 .....   | \$ | 11,000.00 |
| Paid taxes and insurance, Schedule 3 .....   | \$ | 0.00      |
| Loss on sale of Personal Estate, Schedule 4 .....  | \$ | 0.00      |
| Loss on sale of Real Estate, Schedule 5 .....  | \$ | 0.00      |
| Paid debts, Schedule 6 .....   | \$ | 1,500.00  |
| Paid legacies, Schedule 7 .....  | \$ | 0.00      |
| Paid expenses of administration, Schedule 8 .....  | \$ | 7,500.00  |
| Paid for gravestone and perpetual care of burial lot,<br>Schedule 9 .....                                | \$ | 0.00      |
| Paid Probate Fees, Schedule 10 .....   | \$ | 0.00      |
| Attorney Fees (including statement of services,<br>Schedule 11 .....                                     | \$ | 15,000.00 |
| Services and expenses of Administrator-Executor,<br>Schedule 12, (including statement of services) ..... | \$ | 25,000.00 |
| Assignment of Personal Estate to widow, Schedule 13 .....  | \$ | 17,500.00 |
| Paid estate taxes, Schedule 14 .....   | \$ | 0.00      |
| Total Credits .....  | \$ | 77,500.00 |

Probate Court Form No. 56a  
Summary of Account of Executor/  
Administrator (Alternate Form)  
(Page 2 of 2)

|                    |    |              |   |
|--------------------|----|--------------|---|
| Total Charges      | \$ | 2,201,748.06 |   |
| Total Credits      | \$ | 77,500.00    |   |
| Balance for Decree |    |              | \$ 2,124,248.06 consisting of   |
| Real Estate        | \$ | 1,500,000.00 | Schedule 15 (must contain adequate<br>description including any encumbrances) |
| Personal Estate    | \$ | 624,248.06   | Schedule 16   |

Attach a Schedule 17, setting forth the Proposed Distribution.

The foregoing is a just and true account.

By: \_\_\_\_\_  
(Executor/Administrator)

Subscribed and sworn to this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Before me, \_\_\_\_\_  
Justice of the Peace/Notary Public/Judge/Register

NOTICE: A Certificate of Service must be filed with the court by the person who submits this document. The certificate must list each person to whom copies of this document have been sent, together with the person's address, the date of service and the manner of service (e.g. first class mail). See form 124.

**MOTION TO ALLOW ACCOUNT**

We, State National Bank, NA, John Q. Esquire, Co-Executors in the above entitled estate move the court to allow this account.

Dated at \_\_\_\_\_, Vermont this \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Signed \_\_\_\_\_, Executor/Administrator  
Jonathan P. Crumble, Sr. Vice President

Dated at \_\_\_\_\_, Vermont this \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Signed \_\_\_\_\_, Executor/Administrator  
John Q. Esquire

**ORDER**

Examined on oath and allowed:

Dated: \_\_\_\_\_ Signed \_\_\_\_\_, Judge

**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule A**  
**Gain on Personal Estate Sold**

|  | <b>Proceeds</b>      | <b>Inventory Value</b> | <b>Gain/Loss</b>   |
|--|----------------------|------------------------|--------------------|
| <b>Collections</b>   |                      |                        |                    |
| 01/17/2011 A C Moore Arts & Crafts Inc<br>Common<br>Accrued Dividend           | \$ 230.00            | \$ 230.00              | \$ 0.00            |
| 03/15/2011 Aetna Life & Casualty Note<br>6.375%, 3/15/2005<br>Accrued Interest | 10,270.83            | 10,270.83              | 0.00               |
| <b>Total Collections</b>   | <b>\$ 10,500.83</b>  | <b>\$ 10,500.83</b>    | <b>\$ 0.00</b>     |
| <b>Returns of Principal</b>  |                      |                        |                    |
| 10/31/2011 59 Wall Str Fds Inc., U S Equity<br>Fd., Mutual Funds               | \$ 1,100.00          | \$ 1,100.00            | \$ 0.00            |
| <b>Total Returns of Principal</b>  | <b>\$ 1,100.00</b>   | <b>\$ 1,100.00</b>     | <b>\$ 0.00</b>     |
| <b>Sales</b>   |                      |                        |                    |
| 06/15/2011 250,000 Face<br>Aetna Life & Casualty Note<br>6.375%, 3/15/2005     | \$ 257,500.00        | \$ 252,500.00          | \$ 5,000.00        |
| <b>Total Sales</b>   | <b>\$ 257,500.00</b> | <b>\$ 252,500.00</b>   | <b>\$ 5,000.00</b> |
| <b>Total Schedule A</b>  | <b>\$ 269,100.83</b> | <b>\$ 264,100.83</b>   | <b>\$ 5,000.00</b> |

**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule A**  
**Gain on Personal Estate Sold**

**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule C**  
**Income: Rents, Interest and Dividends, Etc.**

**Income Collected**

**A C Moore Arts & Crafts Inc  
Common**

|            |                          |    |          |               |
|------------|--------------------------|----|----------|---------------|
| 01/17/2011 | Dividend on 1,000 Shares | \$ | 230.00   |               |
|            | Less accrued portion     |    | (230.00) |               |
| 04/16/2011 | Dividend on 1,000 Shares |    | 230.00   |               |
| 07/16/2011 | Dividend on 1,000 Shares |    | 230.00   |               |
| 10/31/2011 | Dividend on 1,000 Shares |    | 230.00   |               |
|            |                          |    | <hr/>    |               |
|            |                          |    | \$       | <b>690.00</b> |

**59 Wall Str Fds Inc., U S Equity Fd., Mutual  
Funds**

|            |                           |    |       |               |
|------------|---------------------------|----|-------|---------------|
| 01/31/2011 | Dividend on 500 Shares    | \$ | 62.50 |               |
| 02/28/2011 | Dividend on 501.5 Shares  |    | 62.50 |               |
| 03/31/2011 | Dividend on 502.95 Shares |    | 62.50 |               |
| 04/30/2011 | Dividend on 504.35 Shares |    | 62.50 |               |
| 05/31/2011 | Dividend on 505.85 Shares |    | 62.50 |               |
| 06/30/2011 | Dividend on 507.4 Shares  |    | 66.00 |               |
| 07/31/2011 | Dividend on 509 Shares    |    | 66.00 |               |
| 08/31/2011 | Dividend on 510.5 Shares  |    | 66.00 |               |
| 09/30/2011 | Dividend on 512.02 Shares |    | 66.00 |               |
|            |                           |    | <hr/> |               |
|            |                           |    |       | <b>576.50</b> |

**Aetna Life & Casualty Note  
6.375%, 3/15/2005**

|            |                          |    |             |  |
|------------|--------------------------|----|-------------|--|
| 03/15/2011 | Interest on 500,000 Face | \$ | 15,937.50   |  |
|            | Less accrued portion     |    | (10,270.83) |  |

**Schedule C (Continued)**

**Aetna Life & Casualty Note**

**6.375%, 3/15/2005**

06/15/2011 Interest on Sale \$ 3,320.31

10/16/2011 Interest on 250,000 Face 7,968.75

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\$ **16,955.73**

**Total Schedule C**

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\$ **18,222.23**

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**ESTATE OF ROBERT W. ROBERTSON**

**Schedule C (Continued)**

**Income: Rents, Interest and Dividends, Etc.**

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**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule D**  
**Other Income and Estate Not In Inventory**

| <b>Capital Gain Distributions</b>                         |    | <b>Inventory Value</b> |                                |
|---|----|------------------------|--------------------------------|
| <b>59 Wall Str Fds Inc., U S Equity Fd., Mutual Funds</b> |    |                        |                                |
| 10/31/2011  | \$ | 750.00                 |                                |
| 10/31/2011  |    | 1,150.00               |                                |
|   |    | <hr/>                  | \$ 1,900.00                    |
| <b>Total Capital Gain Distributions</b>                   |    |                        | <hr/> <b>\$ 1,900.00</b> <hr/> |
| <b>Total Schedule D</b>                                   |    |                        | <hr/> <b>\$ 1,900.00</b> <hr/> |

**ESTATE OF ROBERT W. ROBERTSON**

**Schedule 2**

**Funeral Expenses**

| <b>Date Paid</b> |                                    | <b>Amount Paid</b> | <b>Total Paid</b>   |
|------------------|------------------------------------|--------------------|---------------------|
|                  | <b>Funeral Expenses</b>            |                    |                     |
|                  | <b>Cole's Funeral Parlor</b>       |                    |                     |
| 03/14/2011       | Funeral, Flowers                   | \$ 8,500.00        |                     |
|                  | <b>Total Cole's Funeral Parlor</b> |                    | <b>\$ 8,500.00</b>  |
|                  | <b>Jacob's Headstones</b>          |                    |                     |
| 03/23/2011       | Headstone                          | \$ 2,500.00        |                     |
|                  | <b>Total Jacob's Headstones</b>    |                    | <b>\$ 2,500.00</b>  |
|                  | <b>Total Funeral Expenses</b>      |                    | <b>\$ 11,000.00</b> |
|                  | <b>Total Schedule 2</b>            |                    | <b>\$ 11,000.00</b> |

**ESTATE OF ROBERT W. ROBERTSON**

**Schedule 2**

**Funeral Expenses**

Page 1

**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule 6**  
**Paid Debts**

| <b>Date Paid</b> |                                  | <b>Amount Paid</b> | <b>Total Paid</b>  |
|------------------|----------------------------------|--------------------|--------------------|
|                  | <b>Debts of Decedent</b>         |                    |                    |
|                  | <b>St. Mary's Hospital</b>       |                    |                    |
| 02/17/2011       | Final bill                       | \$ 1,500.00        |                    |
|                  | <b>Total St. Mary's Hospital</b> |                    | <b>\$ 1,500.00</b> |
|                  | <b>Total Debts of Decedent</b>   |                    | <b>\$ 1,500.00</b> |
|                  | <b>Total Schedule 6</b>          |                    | <b>\$ 1,500.00</b> |



**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule 8**  
**Administrative Expenses**

| <b>Date Paid</b> |                                   | <b>Amount Paid</b> | <b>Total Paid</b>  |
|------------------|-----------------------------------|--------------------|--------------------|
|                  | <b>Accounting Fees</b>            |                    |                    |
|                  | <b>Ronald McDonald, CPA</b>       |                    |                    |
| 08/09/2011       | Accounting fees                   | \$ 7,500.00        |                    |
|                  | <b>Total Ronald McDonald, CPA</b> |                    | <b>\$ 7,500.00</b> |
|                  | <b>Total Accounting Fees</b>      |                    | <b>\$ 7,500.00</b> |
|                  | <b>Total Schedule 8</b>           |                    | <b>\$ 7,500.00</b> |

**ESTATE OF ROBERT W. ROBERTSON**

**Schedule 11**

**Attorney Fees**

| <b>Date Paid</b> |  | <b>Amount Paid</b> | <b>Total Paid</b>   |
|------------------|--|--------------------|---------------------|
|                  | <b>Attorney Fees</b>                           |                    |                     |
|                  | <b>Williams, Williams &amp; Williams</b>       |                    |                     |
| 06/02/2011       | Legal fee, payment on account                  | \$ 15,000.00       |                     |
|                  | <b>Total Williams, Williams &amp; Williams</b> |                    | <b>\$ 15,000.00</b> |
|                  | <b>Total Attorney Fees</b>                     |                    | <b>\$ 15,000.00</b> |
|                  | <b>Total Schedule 11</b>                       |                    | <b>\$ 15,000.00</b> |

**ESTATE OF ROBERT W. ROBERTSON**

**Schedule 11**

**Attorney Fees**

Page 1

**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule 12**  
**Services and Expenses of Administrator-Executor**

| <b>Date Paid</b> |   | <b>Amount Paid</b> | <b>Total Paid</b>   |
|------------------|---|--------------------|---------------------|
|                  | <b>Executor Commissions</b>                   |                    |                     |
|                  | <b>John Q. Esquire</b>                        |                    |                     |
| 09/29/2011       | Executor's Commissions<br>-Payment on account | \$ 10,000.00       |                     |
|                  | <b>Total John Q. Esquire</b>                  |                    | <b>\$ 10,000.00</b> |
|                  | <b>State National Bank, NA</b>                |                    |                     |
| 09/29/2011       | Executor's Commissions<br>-Payment on account | \$ 15,000.00       |                     |
|                  | <b>Total State National Bank, NA</b>          |                    | <b>\$ 15,000.00</b> |
|                  | <b>Total Executor Commissions</b>             |                    | <b>\$ 25,000.00</b> |
|                  | <b>Total Schedule 12</b>                      |                    | <b>\$ 25,000.00</b> |

**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule 13**  
**Assignment of Personal Estate to Widow**

|                             |                            | Inventory Value |                     |
|-----------------------------|----------------------------|-----------------|---------------------|
| Elaine D. Robertson         |                            |                 |                     |
| 02/25/2011                  | Tangible Personal Property | \$              | 7,500.00            |
| 10/31/2011                  | Cash                       |                 | 10,000.00           |
| Total To Or For Beneficiary |                            |                 | <u>\$ 17,500.00</u> |
| Total Schedule 13           |                            |                 | <u>\$ 17,500.00</u> |

**ESTATE OF ROBERT W. ROBERTSON**

**Schedule 15**

**Real Estate On Hand**

| <b>Real Property</b>           | <b>Inventory Value</b> | <b>Fair Market Value<br/>12/31/2011</b> |
|--------------------------------|------------------------|---|
| Decedent's Principal Residence | \$ 1,500,000.00        | \$ 1,500,000.00                         |
| <b>Total Real Property</b>     | <b>\$ 1,500,000.00</b> | <b>\$ 1,500,000.00</b>                  |
| <b>Total Schedule 15</b>       | <b>\$ 1,500,000.00</b> | <b>\$ 1,500,000.00</b>                  |

**ESTATE OF ROBERT W. ROBERTSON**

**Schedule 15**

**Real Estate On Hand**

**ESTATE OF ROBERT W. ROBERTSON**

**Schedule 16**

**Personal Estate On Hand**

| <b>Cash and Cash Equivalents</b>             |   | <b>Inventory Value</b> | <b>Fair Market Value<br/>12/31/2011</b> |
|--|---|------------------------|---|
|  | Advantus Money Mkt Fd Inc.                            | \$ 158,000.00          | \$ 158,000.00                           |
|  | State Bank, NA<br>Estate Account<br>No. 12 77586 2    | 39,496.56              | 39,496.56                               |
| <b>Total Cash and Cash Equivalents</b>       |   | <b>\$ 197,496.56</b>   | <b>\$ 197,496.56</b>                    |
| <b>No. of<br/>Shares</b>                     | <b>Stocks</b>   |                        |   |
| 1,000  | A C Moore Arts & Crafts Inc<br>Common                 | \$ 77,000.00           | \$ 74,000.00                            |
| 2,250  | Acorn Prods Inc., common                              | 50,250.00              | 51,000.00                               |
| 500  | Alliance Pharmaceutical Corp<br>Common                | 17,250.00              | 24,000.00                               |
| 250  | Alliance Resource Partners LP                         | 5,750.00               | 6,000.00                                |
| <b>Total Stocks</b>                          |   | <b>\$ 150,250.00</b>   | <b>\$ 155,000.00</b>                    |
| <b>Face<br/>Amount</b>                       | <b>Bonds, Debentures &amp; T-Bills</b>                |                        |   |
| 250,000                                      | Aetna Life & Casualty Note<br>6.375%, 3/15/2005       | \$ 252,500.00          | \$ 253,100.00                           |
| <b>Total Bonds, Debentures &amp; T-Bills</b> |   | <b>\$ 252,500.00</b>   | <b>\$ 253,100.00</b>                    |
| <b>No. of<br/>Shares</b>                     | <b>Mutual Funds</b>                                   |                        |   |
| 544.32                                       | 59 Wall Str Fds Inc., U S Equity Fd., Mutual<br>Funds | \$ 24,001.50           | \$ 23,612.72                            |
| <b>Total Mutual Funds</b>                    |   | <b>\$ 24,001.50</b>    | <b>\$ 23,612.72</b>                     |
| <b>Total Schedule 16</b>                     |   | <b>\$ 624,248.06</b>   | <b>\$ 629,209.28</b>                    |

**ESTATE OF ROBERT W. ROBERTSON**

**Schedule 16**

**Personal Estate On Hand**

**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule 17**  
**Proposed Distributions to Beneficiaries**

**Inventory Value**

**State National Bank, NA and John Q. Esquire, Trustees of the trust created under Article 5th of the Will**

|                                    |  |    |                      |
|------------------------------------|--|----|----------------------|
| 12/31/2011                         | 500 Shares<br>A C Moore Arts & Crafts Inc<br>Common  | \$ | 38,500.00            |
| 12/31/2011                         | 1,125 Shares<br>Acorn Prods Inc., common             |    | 25,125.00            |
| 12/31/2011                         | Cash   |    | 60,054.04            |
| 12/31/2011                         | 250 Shares<br>Alliance Pharmaceutical Corp<br>Common |    | 8,625.00             |
| 12/31/2011                         | 125 Shares<br>Alliance Resource Partners LP          |    | 2,875.00             |
| 12/31/2011                         | Cash   |    | 19,748.28            |
| <b>Total To Or For Beneficiary</b> |  |    | <b>\$ 154,927.32</b> |

**Charitable organization**

|                                    |  |    |                      |
|------------------------------------|--|----|----------------------|
| 12/31/2011                         | 500 Shares<br>A C Moore Arts & Crafts Inc<br>Common  | \$ | 38,500.00            |
| 12/31/2011                         | 1,125 Shares<br>Acorn Prods Inc., common             |    | 25,125.00            |
| 12/31/2011                         | Cash   |    | 60,054.04            |
| 12/31/2011                         | 250 Shares<br>Alliance Pharmaceutical Corp<br>Common |    | 8,625.00             |
| 12/31/2011                         | 125 Shares<br>Alliance Resource Partners LP          |    | 2,875.00             |
| 12/31/2011                         | Cash   |    | 19,748.28            |
| <b>Total To Or For Beneficiary</b> |  |    | <b>\$ 154,927.32</b> |

**Elaine D. Robertson**

|            |  |    |           |
|------------|--|----|-----------|
| 12/31/2011 | 544.32 Shares<br>59 Wall Str Fds Inc., U S Equity Fd., Mutual<br>Funds | \$ | 24,001.50 |
|------------|--|----|-----------|

**ESTATE OF ROBERT W. ROBERTSON**  
**Schedule 17**  
**Proposed Distributions to Beneficiaries**

**Schedule 17 (Continued)**

|                                 |   | Inventory Value |                     |
|---------------------------------|---|-----------------|---------------------|
| Elaine D. Robertson (Continued) |   |                 |                     |
| 12/31/2011                      | 250,000 Face<br>Aetna Life & Casualty Note<br>6.375%, 3/15/2005 | \$              | 252,500.00          |
| 12/31/2011                      | Cash  |                 | 37,891.92           |
| 12/31/2011                      | Decedent's Principal Residence                                  |                 | 1,500,000.00        |
| Total To Or For Beneficiary     |   | \$              | <u>1,814,393.42</u> |
| Total Schedule 17               |   | \$              | <u>2,124,248.06</u> |

**ESTATE OF ROBERT W. ROBERTSON**

**Schedule 17 (Continued)**

**Proposed Distributions to Beneficiaries**

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**ESTATE OF ROBERT W. ROBERTSON**  
**Information Schedule - Investments**

**Inventory  
Value**

**New Investments**

**59 Wall Str Fds Inc., U S Equity Fd., Mutual Funds**

|            |      |      |                            |    |          |
|------------|------|------|----------------------------|----|----------|
| 01/31/2011 | 1.5  | Shs. | Purchased via Reinvestment | \$ | 62.50    |
| 02/28/2011 | 1.45 | Shs. | Purchased via Reinvestment |    | 62.50    |
| 03/31/2011 | 1.4  | Shs. | Purchased via Reinvestment |    | 62.50    |
| 04/30/2011 | 1.5  | Shs. | Purchased via Reinvestment |    | 62.50    |
| 05/31/2011 | 1.55 | Shs. | Purchased via Reinvestment |    | 62.50    |
| 06/30/2011 | 1.6  | Shs. | Purchased via Reinvestment |    | 66.00    |
| 07/31/2011 | 1.5  | Shs. | Purchased via Reinvestment |    | 66.00    |
| 08/31/2011 | 1.52 | Shs. | Purchased via Reinvestment |    | 66.00    |
| 09/30/2011 | 1.3  | Shs. | Purchased via Reinvestment |    | 66.00    |
| 10/31/2011 | 12.1 | Shs. | Purchased via Reinvestment |    | 750.00   |
| 10/31/2011 | 18.9 | Shs. | Purchased via Reinvestment |    | 1,150.00 |

**Alliance Pharmaceutical Corp  
Common**

|            |     |      |           |  |           |
|------------|-----|------|-----------|--|-----------|
| 08/30/2011 | 500 | Shs. | Purchased |  | 23,000.00 |
|------------|-----|------|-----------|--|-----------|

**Total New Investments**

**\$ 25,476.50**

**Exchanges and Stock Distributions**

**A C Moore Arts & Crafts Inc  
Common**

|            |       |      |          |    |           |
|------------|-------|------|----------|----|-----------|
| 01/10/2011 | 1,000 | Shs. | Received |    | 77,000.00 |
|            | 1,000 | Shs. | On Hand  | \$ | 77,000.00 |

**Acorn Prods Inc., common**

|            |       |      |   |    |           |
|------------|-------|------|---|----|-----------|
| 01/10/2011 | 1,500 | Shs. | Received                                |    | 50,250.00 |
| 11/01/2011 | 750   | Shs. | Received as a stock distribution        |    | 0.00      |
|            |       |      | Received to effect a 50% stock dividend |    |           |
|            | 2,250 | Shs. | On Hand                                 | \$ | 50,250.00 |

**59 Wall Str Fds Inc., U S Equity Fd., Mutual Funds**

|            |     |      |          |  |           |
|------------|-----|------|----------|--|-----------|
| 01/10/2011 | 500 | Shs. | Received |  | 22,625.00 |
|------------|-----|------|----------|--|-----------|

**ESTATE OF ROBERT W. ROBERTSON**  
**Information Schedule - Investments**

# Information Schedule - Investments (Continued)

|  |               |             |                       | Inventory<br>Value  |
|--|---------------|-------------|-----------------------|---------------------|
| <b>Exchanges and Stock Distributions</b> |               |             |                       |                     |
| 01/31/2011                               | 1.5           | Shs.        | Income Reinvestment   | 62.50               |
| 02/28/2011                               | 1.45          | Shs.        | Income Reinvestment   | 62.50               |
| 03/31/2011                               | 1.4           | Shs.        | Income Reinvestment   | 62.50               |
| 04/30/2011                               | 1.5           | Shs.        | Income Reinvestment   | 62.50               |
| 05/31/2011                               | 1.55          | Shs.        | Income Reinvestment   | 62.50               |
| 06/30/2011                               | 1.6           | Shs.        | Income Reinvestment   | 66.00               |
| 07/31/2011                               | 1.5           | Shs.        | Income Reinvestment   | 66.00               |
| 08/31/2011                               | 1.52          | Shs.        | Income Reinvestment   | 66.00               |
| 09/30/2011                               | 1.3           | Shs.        | Income Reinvestment   | 66.00               |
| 10/31/2011                               |               |             | Return of principal   | (1,100.00)          |
| 10/31/2011                               | 12.1          | Shs.        | Cap gain reinvestment | 750.00              |
| 10/31/2011                               | 18.9          | Shs.        | Cap gain reinvestment | 1,150.00            |
|  | <u>544.32</u> | <u>Shs.</u> | <u>On Hand</u>        | <u>\$ 24,001.50</u> |

## Aetna Life & Casualty Note 6.375%, 3/15/2005

|            |                |             |                |                      |
|------------|----------------|-------------|----------------|----------------------|
| 01/10/2011 | 500,000        | Face        | Received       | 505,000.00           |
| 06/15/2011 | (250,000)      | Face        | Sold           | (252,500.00)         |
|            | <u>250,000</u> | <u>Face</u> | <u>On Hand</u> | <u>\$ 252,500.00</u> |

## Alliance Pharmaceutical Corp Common

|            |            |             |  |                     |
|------------|------------|-------------|--|---------------------|
| 08/30/2011 | 500        | Shs.        | Purchased  | 23,000.00           |
| 09/28/2011 |            |             | Spin Off to<br>Alliance Resource Partners LP<br>at the rate of 1/2 share of<br>Alliance Resource Partners LP<br>for each share of Alliance<br>Pharmaceutical Corp held | (5,750.00)          |
|            | <u>500</u> | <u>Shs.</u> | <u>On Hand</u>   | <u>\$ 17,250.00</u> |

## Alliance Resource Partners LP

|            |     |      |   |          |
|------------|-----|------|---|----------|
| 09/28/2011 | 250 | Shs. | Received as Spin Off From<br>Alliance Pharmaceutical Corp<br>Common | 5,750.00 |
|------------|-----|------|---|----------|

## ESTATE OF ROBERT W. ROBERTSON

### Information Schedule - Investments (Continued)

# **Information Schedule - Investments (Continued)**

|  |                 |  | <b>Inventory<br/>Value</b> |
|--|-----------------|--|----------------------------|
| <b>Exchanges and Stock Distributions</b> |                 |  |                            |
|  | <u>250 Shs.</u> | On Hand                                | <u>\$ 5,750.00</u>         |
| <b>Decedent's Principal Residence</b>    |                 |  |                            |
| 01/10/2011                               |                 | Received<br>See rider attached         | 1,500,000.00               |
|  |                 | On Hand                                | <u>\$ 1,500,000.00</u>     |
| <b>Tangible Personal Property</b>        |                 |  |                            |
| 01/10/2011                               |                 | Received                               | 7,500.00                   |
| 02/25/2011                               |                 | Distribution<br>To Elaine D. Robertson | (7,500.00)                 |

**ESTATE OF ROBERT W. ROBERTSON**

**Information Schedule - Investments (Continued)**

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